



ESG Supply Chain Survey

Content:

1. General Information
2. Environmental Sustainability

V1.0 - Released by Gadot Group, September 2023

© 2023 Gadot Group

Gadot Chemicals Group® is the owner of this material. This material may not be used for any purpose other than that for which it is provided without the express written consent of Gadot Group® and will accept no liability for any damages from any use of this material including without limitation any direct, indirect, incidental, special and consequential damages, loss of data, income, profit or goodwill, loss of or damage to property or claims of third parties. Gadot Group® reserves the right to add to, change or delete its content or any



General Information

Please complete the following General Information about your Company:

Company name	<input type="text"/>
POC Name completing the survey	<input type="text"/>
POC Email Address	<input type="text"/>
Are you completing this survey on behalf of: <i>(only select one)</i>	<input type="checkbox"/> Your entire Corporation <input type="checkbox"/> A specific Business Area / Site / Unit Specify: <input type="text"/> Name of Business Area / Site / Unit:
Please note: for the rest of the survey, please complete responses based on your selection of 'Corporation' or 'Business Area' above	
Location <i>If you selected 'Corporation' response above, select as many regions as your Corporation represents.</i> <i>If you selected 'Business Area' response above, only select the region(s) that your Business Area represents.</i>	<input type="checkbox"/> US and Canada <input type="checkbox"/> Middle East <input type="checkbox"/> Russia <input type="checkbox"/> India <input type="checkbox"/> China <input type="checkbox"/> Mexico, Central and South America <input type="checkbox"/> Europe <input type="checkbox"/> Africa <input type="checkbox"/> Asian-Pacific (excluding Australia and New Zealand) <input type="checkbox"/> Australia and New Zealand
Employees	
Number of employees at your corporation/specific business area/site/unit <i>(only select one)</i>	<input type="checkbox"/> Less than 50 <input type="checkbox"/> 51-500 <input type="checkbox"/> 501 and greater
Percentage of employees that are male/female at your corporation/specific business area/site/unit	M (%): F (%):
Percentage of employees that are foreign/migrant workers at your corporation/specific business area/site/unit	%
Number of temporary contract workers at your corporation/specific business area/site/unit	%
Financial Figures Total annual sales (\$US\$) <i>(only select one)</i>	<input type="checkbox"/> Less than \$1M <input type="checkbox"/> \$1M-\$50M <input type="checkbox"/> \$10M-\$50M <input type="checkbox"/> \$50M-\$100M <input type="checkbox"/> \$100M - \$500M <input type="checkbox"/> \$500M-\$1B <input type="checkbox"/> \$1B-\$10B <input type="checkbox"/> Greater than \$10B <input type="checkbox"/> Choose not to respond
Type of Industry <i>(Select as many as apply)</i>	<input type="checkbox"/> Chemicals <input type="checkbox"/> Solvents <input type="checkbox"/> Lubricants <input type="checkbox"/> Petroleum <input type="checkbox"/> Raw materials <input type="checkbox"/> Chemical manufacturers (e.g.) <input type="checkbox"/> General Procurement (e.g.) <input type="checkbox"/> Munitions <input type="checkbox"/> Non production (e.g. professional services, consultants) <input type="checkbox"/> Other

ESG Sustainability

Environment

1. Environmental Management System	
Do you have an Environmental Management System (EMS)?	<input type="checkbox"/> No <input type="checkbox"/> Yes, at a Corporate Level <input type="checkbox"/> Yes, at a Business Unit Level
If you have an EMS, please list :	
Is it up-to-date certified ?	<input type="checkbox"/> No <input type="checkbox"/> Yes According to which standard? <input type="checkbox"/> a. ISO XXXX <input type="checkbox"/> b. Other standard : <input type="text"/>
Please select the EMS scope:	<input type="checkbox"/> a. All activities <input type="checkbox"/> b. Production <input type="checkbox"/> c. Design and Engineering <input type="checkbox"/> d. Maintenance
If you do not have an EMS or if your EMS is not certified :	<input type="text"/>
Do you have a defined organizational responsibility for environmental management focal point?	<input type="checkbox"/> a. No defined organizational responsibility focal point for environmental management <input type="checkbox"/> b. Tasks and responsibilities are assigned to one or more individuals/organizations trained in environmental management <input type="checkbox"/> c. Tasks and responsibilities for environmental management are clearly defined in the organizational structure
Do you have an environmental risk identification, assessment and management process?	<input type="checkbox"/> a. No environmental risk identification, assessment and management process <input type="checkbox"/> b. Environmental risk and impact are identified <input type="checkbox"/> c. Environmental risk and impact are identified and have action plans to manage the risk
Do you use any reporting program (SBTi; GRI; etc.)	<input type="text"/>
Do you have an emergency response management processes and capabilities to handle environmental incidents?	<input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> Yes, and tested regularly
2. Administrative Status <small>(select one response per question)</small>	
Are you subject to any environmental classification, license, permit or other authorization from any government or other official body?	<input type="checkbox"/> a. We do not know our administrative status or we do not have the operating license, permit or authorization for our activity <input type="checkbox"/> b. Request submitted for license, permit or authorization to government or official body <input type="checkbox"/> c. Our activity requires an operating license, permit or authorization; Necessary documents are in order <input type="checkbox"/> d. We do not perform any activity requiring an operating license, permit or authorization
Have you received any environmental claims, penalties, fines, or violations in the last 2 years?	<input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> Decline to disclose
If yes, please elaborate in row 45	
What are the main impacts?	<input type="checkbox"/> a. Financial impact <input type="checkbox"/> b. Formal notice <input type="checkbox"/> c. Suspension of activity <input type="checkbox"/> d. Site(s) closure or pending site(s) closure <input type="checkbox"/> e. Decline to disclose <input type="checkbox"/> f. Other <input type="text"/>
3. Goals and Strategy <small>(select all that apply)</small>	
Does your company have goals or management systems in place for the following?	<input type="checkbox"/> GHG emissions reduction <input type="checkbox"/> Non-GHG emissions reduction <input type="checkbox"/> Wastewater reduction <input type="checkbox"/> Hazardous materials reduction, substitution or elimination <input type="checkbox"/> Renewable energy increase <input type="checkbox"/> Energy consumption or reduction <input type="checkbox"/> Sustainable material usage <input type="checkbox"/> Industrial process waste reduction <input type="checkbox"/> Non process waste reduction <input type="checkbox"/> Water consumption reduction <input type="checkbox"/> Increase of revert or recycled material in product <input type="checkbox"/> Packaging reduce, reuse, and recycle <input type="checkbox"/> Pollution prevention <input type="checkbox"/> End-of-Life / service impacts <input type="checkbox"/> Transportation logistics <input type="checkbox"/> Other <input type="text"/>
4. Greenhouse Gases Emissions <small>(select one response per question)</small>	
Do you track your company's Scope 1 and Scope 2 greenhouse gas (GHG) emissions ?	<input type="checkbox"/> No <input type="checkbox"/> Yes
If you do track GHG emissions, please refer to row 71 :	
What was your company's Scope 1 and 2 GHG emissions in Carbon Dioxide equivalents (CO2e) in metric tonnes for the most recent available reporting year? <i>Note: without Renewable Energy Credits</i> <i>Note: 1 metric tonne = 2200 pounds</i>	Scope 1 <input type="text"/> Scope 2 <input type="text"/>
What is the reporting year?	<input type="text"/>
Are you disclosing your Scope 1 and Scope 2 GHG emissions in accordance with any industry's GHG protocol ?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does your company account for any categories of Scope 3 emissions?	<input type="checkbox"/> No <input type="checkbox"/> Yes
5. Sub-tier Supplier Environmental Performance Management <small>(select one response per question)</small>	
Do you specify environmental requirements down to your suppliers?	<input type="checkbox"/> Do not specify environmental requirements down to your suppliers <input type="checkbox"/> Specify environmental requirements down to your suppliers when contractually required to do so from the customer <input type="checkbox"/> Always specify environmental requirements down to your suppliers
Have you taken steps with your first-tier suppliers to measure and improve or set expectations regarding Environmental Sustainability ?	<input type="checkbox"/> a. None of our suppliers <input type="checkbox"/> b. Prioritized suppliers only <input type="checkbox"/> c. All of our suppliers

Does your company monitor the compliance of sub-suppliers with corporate environmental policies (greenhouse gas emissions, waste water, hazardous chemicals, etc.)?	<input type="checkbox"/> No <input type="checkbox"/> Yes
---	---

6. Regulatory Watch (select one response per question)	
Please select the phrase that best describes how you monitor and review existing and emerging regulations If a regulatory watch is done, please refer to row 98:	<input type="checkbox"/> a. No regulatory watch <input type="checkbox"/> b. A regulatory watch is done, but no compliance evaluation has been done <input type="checkbox"/> c. A regulatory watch and a compliance evaluation are done <input type="checkbox"/> d. A regulatory watch and a compliance evaluation are done, and action plans are implemented for non-compliance
At what frequency is the regulatory watch conducted?	<input type="checkbox"/> a. One time compliance evaluation per existing and/or emerging regulation <input type="checkbox"/> b. One time compliance evaluation per existing and/or emerging regulation, unless major changes in company operations occur <input type="checkbox"/> c. Regulatory watches for existing and/or emerging regulation are conducted on a regular basis and at regular intervals

7. Chemical Substances (select one response per question)	
Do you have a process to manage risks related to chemical and material obsolescence?	<input type="checkbox"/> a. No process <input type="checkbox"/> b. Process covering our activities <input type="checkbox"/> c. Process covering our activities and our supply chain's activities
Does your company maintain a register of all chemicals, purchased, used and disposed?	<input type="checkbox"/> No <input type="checkbox"/> Yes
What information beyond legal requirements does your company disclose about the chemical ingredients in the products manufactured or distributed?	

8. Eco-Design (select one response per question)	
Do you perform any environmental Life Cycle Assessments on your products?	<input type="checkbox"/> a. No <input type="checkbox"/> b. On a case-by-case basis or when required <input type="checkbox"/> c. Systematically on all products
If b or c, please complete row 116	
Is it in accordance to ISO/other standard ?	<input type="checkbox"/> No <input type="checkbox"/> Yes

Social

1. Workplace Management (select all that apply)	
Does the company have a written corporate responsibility policy or statement of commitment that defines its approach to labor, health, and safety standards?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does the company have policies that prohibit forced labor and child labor?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does the company have written personnel policies that cover (select all that apply):	<input type="checkbox"/> Benefits and deductions <input type="checkbox"/> Discipline and termination <input type="checkbox"/> Grievance procedures <input type="checkbox"/> Harassment and abuse <input type="checkbox"/> Overtime hours & wage rates <input type="checkbox"/> Vacation and leave <input type="checkbox"/> Other

2. Health & Safety (select all that apply)	
Are all employees trained on relevant health and safety matters	<input type="checkbox"/> No <input type="checkbox"/> Yes
To which laws and regulations governing environment, health, and safety does the company adhere? Any certifications?	
Does the company have written procedures for the safe storage, use, and disposal of each hazardous material used in production (if applicable), in language(s) workers understand?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does the company employ child labor (workers younger than 14 or 15, on permit basis (not on vacation)?)	<input type="checkbox"/> No <input type="checkbox"/> Yes

3. Discrimination (select all that apply)	
Does the company have written personnel policies in place for its hiring, salary, benefits, termination, and/or retirement practices to prevent discrimination on the basis of (select all that apply):	<input type="checkbox"/> Age <input type="checkbox"/> Disability <input type="checkbox"/> Gender <input type="checkbox"/> Marital status <input type="checkbox"/> Medical history <input type="checkbox"/> Nationality <input type="checkbox"/> Political opinion <input type="checkbox"/> Pregnancy status <input type="checkbox"/> Race <input type="checkbox"/> Religion <input type="checkbox"/> Sexual orientation <input type="checkbox"/> Social or ethnic origin <input type="checkbox"/> Veteran status <input type="checkbox"/> Other

4. Harassment & Abuse (select one response per question)	
Does the company have a formal, written policy that clearly states a commitment to prevent harassment, corporal punishment, intent to injure or intimidate, sexual harassment, verbal abuse and/or harassment in the workplace?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does the company have procedures in place to document and confidentially report events, complaints, cases, and management responses and action related to the above mentioned	<input type="checkbox"/> No <input type="checkbox"/> Yes

Governance

General (select one response per question)	
Do you have a Code of Conduct or Corporate Policy that includes environmental components, and at a minimum requires compliance with all applicable environmental laws and regulations?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Do you have a Supplier Code of Conduct or Supplier Policy that includes environmental components, and at a minimum requires compliance with all applicable environmental laws and regulations?	<input type="checkbox"/> No <input type="checkbox"/> Yes

Does the company have a management representative assigned responsibility for assuring compliance with labor, health, and safety laws, regulations, and codes? List the name(s) and title(s).	<input type="checkbox"/> No <input type="checkbox"/> Yes
Has the company conducted audits of its environmental, labor, health, and safety laws management system(s) in the past year?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Are corrective actions identified? Is there any root cause analysis and preventative mechanism implementation?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does the company have an effective grievance procedures in place to allow employees to bring environmental and/or work-related violations and/or concerns to management's attention in an anonymous manner without fear of retribution?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does the company have in place procedures to ensure that suppliers, including labor brokers, operate in compliance with all applicable environmental and labor laws and regulations?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does the company have in place procedures to evaluate and select suppliers based on their ability to meet ESG standards?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does the company regularly engage stakeholders on its sustainability strategies, disclosure, and performance, including community groups, employees, NGOs, and government?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does the company have in place procedures to incorporate stakeholder input into its business and operational strategies?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does the company publicly disclose its ESG policies, programs, and performance either online or in a sustainability report?	<input type="checkbox"/> No <input type="checkbox"/> Yes
Does the company factor supplier's performance on key ESG indicators into its purchasing decisions?	<input type="checkbox"/> No <input type="checkbox"/> Yes